



Certified by the **International Academy of Business And Financial Management (IABFM)**.

Recognised as one of the leading professional education
and certification providers in the world.

Certified Compliance Officer (CCO™)

After attending this five day Executive Program, you will be able to:

- ❖ Define the meaning of compliance for your organization and be capable of developing an organizational response to its demands
- ❖ Know what drives your compliance exposure both at home and abroad
- ❖ Understand the risk consequences of non-compliance
- ❖ Appreciate the relationship between corporate governance and compliance, including the market expectation of that relationship
- ❖ Understand the implications of Sarbanes Oxley for corporate accountability and ethical behavior
- ❖ Understand how to produce a corporate compliance program tailored to your own organization
- ❖ Learn how to optimize organizational structure for compliance

Why Compliance Management?

In many financial markets, a formal compliance management system is not only recommended, but required by the regulatory community and by good corporate governance principles. The well documented financial misreporting by firms like Enron, Worldcom, Xerox, etc. Has put pressure on corporations to more transparently manage their compliance exposures .

While some may observe that such regulatory requirements have not yet made their way to many countries, it is clear that all enterprises operating globally must adhere to such guidelines. The program also represents an unrivalled opportunity for regional organizations to prepare themselves for the arrival of more rigorous regulatory environments.

This program is designed to provide an holistic overview of compliance. All professionals with responsibility for compliance will benefit by attending, specifically:

- ❖ Directors and Senior Managers
- ❖ Company Secretaries
- ❖ Compliance Professionals
- ❖ Risk Managers
- ❖ Legal Advisers and Lawyers
- ❖ In-House Corporate Counsel
- ❖ Auditors and Audit Managers
- ❖ Compliance Officers and managers

Why This Particular Executive Program?

Creating a culture of compliance is an important risk management strategy. Recent corporate collapses have heavily focused on the need for good corporate governance and an effective compliance program is an integral part of this structure. This intensive program will provide a blueprint for compliance professionals to follow to ensure that the risk profile of your organization is limited by a thorough understanding of your regulatory environment.

In addition to the knowledge gained, on successful completion of the program you will receive certification from the internationally recognised financial association, the International Academy of Business And Financial Management.

The certification will entitle you to use the formal designation Certified Compliance Officer (CCO) on your resume and business cards.

Program Content

Day 1

Introduction To Compliance

- ❖ What is corporate compliance?
- ❖ Who is responsible for managing it?
- ❖ What are the benefits of its introduction?
- ❖ Fostering a culture of accountability

Day 2

The Regulatory Environment and The Role Of Regulators (continued)

- ❖ International responses to the problems of money laundering economic crime, cyber-sabotage and terrorism
- ❖ Noncompliance and its ramifications

Day 3

Compliance and Business Ethics

- ❖ What are ethics?
- ❖ Ethics and corporate accountability: Sarbanes-Oxley
- ❖ Benefits of a commitments to sound business ethics
- ❖ Corporate ethics guidelines

Day 4

Developing a Corporate Compliance Program (continued)

- ❖ Best practice principles
- ❖ Monitoring and auditing

Implementation Of Corporate Compliance

- ❖ Implementation requirements

Case studies will be issued to demonstrate how organizations have implemented compliance programs.

Day 5

The Corporate Compliance Function

- ❖ The location of the compliance function
- ❖ Integration or independence of the compliance function
- ❖ An optimal compliance architecture
- ❖ The Compliance Officer

Issues In Compliance

- ❖ The demand for Corporate Social Responsibility (CSR)
- ❖ Fraud and corruption control



“I benefited greatly out of this course. I came with fragments and now I have the whole lot tied up together”

Nabil Abdel Aleem,
Manager Policies and Procedures,
Altawfeek Co. For Investment Funds, KSA